
Registered Investment Advisors



If the SEC showed up today, would you be ready?

In today's regulatory environment a compliance violation can be very detrimental to an otherwise successful investment advisory firm. Investment advisors not only need a compliance solution that is customized and risk-appropriate, but also an experienced trusted compliance advisor. ICSGroup has a track record of success. From developing top notch compliance programs and conducting annual compliance reviews to solving complex compliance challenges, our team of highly experienced compliance professionals can help your firm get - and stay - in compliance.

Our Compliance Services

Compliance Services can be provided on a fully outsourced basis or customized based on the following à la carte services:

- SEC Registration/Form ADV
- Customized Policies and Procedures
- Codes of Ethics
- Marketing Material Reviews
- Cybersecurity Programs
- Business Continuity Plans
- Mock SEC Exams
- SEC Exam Support
- Compliance & Ethics Training
- Annual Compliance Reviews
- Forms PF & 13F
- Conflicts of Interest & Risk Assessments



Our Competitive Advantage

Compliance Experience

- Our team has a depth of compliance experience supporting the institutional financial services industry.

Knowledge of the Regulators

- We know what the regulators expect. Our compliance consultants have managed many SEC exams and our clients have passed their exams with flying colors!

Quality Customer Service

- Every client receives exemplary service, the highest quality work product and proactive guidance.

Compliance made easy.

The Management Team

ICSGroup's management team has over 100 years combined compliance experience supporting financial services companies. Our team of highly experienced compliance professionals are former attorneys and former compliance executives with institutional financial services companies. We know your compliance challenges and we can help you solve them.

Medina Jett, Founder and President

Medina Jett is an executive with over 25 years of legal and compliance experience in the financial services industry. Prior to starting ICSGroup in 2008, Medina served as the chief compliance officer at Cigna Retirement & Investment Services, Prudential Retirement and, most recently, The Hartford. Medina began her career as a securities attorney in private practice. Medina is responsible for the overall strategic leadership of ICSGroup. She leads the firm's business development efforts, oversees a team of senior consultants and manages client relationships.

Curtis Flippen, Vice President, Compliance Services

Curtis Flippen is a seasoned attorney and compliance professional with over 20 years of experience in the asset management industry. Prior to joining ICS Group, Curtis was the VP, Compliance for QMA, a wholly-owned investment advisory subsidiary of Prudential. Previously, Curtis held senior level regulatory compliance positions with MetLife, Bear Sterns Asset Management, Ernst & Young and Deloitte. Curtis is responsible for reviewing marketing materials across the enterprise and oversees other consultants in addition to his client assignments.

Dan Carroll, Director, Compliance Services

Dan has 20+ years of compliance, accounting and operations experience. Prior to joining ICSGroup, Dan was the Vice President, Manager of Operational Risk at Institutional Capital, LLC in Chicago. He was also the Director of Compliance & Operations at InView Asset Management; Director of Operations & Compliance at Magnetar Capital Management and SVP, Director of Compliance at Deerfield Capital Management, LLC.

Lisa Lazarus, Vice President Operations & Business Development

Prior to joining Integrated Compliance Solutions Group, Lisa held senior roles at several highly reputable and innovative organizations and agencies including Prep for Prep, Booz Allen Hamilton, Commander, Navy Region Hawaii at Joint-Base Pearl Harbor-Hickham, and KRA Corporation. Lisa has expertise in the areas of operations, recruiting, human resources, workforce analytics and development and talent management. She leads the firm's business development efforts as well as operations, finance and human resources.

ICSGroup is a boutique compliance services firm that provides critical compliance support and guidance to registered investment advisors, broker dealers, manager of managers and pension plans. The firm was founded on one basic premise – to provide high quality compliance services efficiently and cost effectively to help our clients meet their compliance obligations. ICSGroup is a certified minority- and woman-owned business.